

09.26-03

EXPRESS MAIL NO. EV322527976US Attorney Docket No. LR001 Client/Matter No. 68209.0001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

09/522,481

Applicant:

Examiner:

Edward Pinto

Confirmation No. 5694

Filed:

March 10, 2000

Title: SYSTEM AND METHOD

FOR PROCESSING A

SECURED COLLATERAL

LOAN

TC/A.U. 3624

Jeffrey C. PWU

Customer No. 25235

OCT 0.2 2003

GROUP 3600

Docket No.

LR001

CERTIFICATE OF MAILING BY EXPRESS MAIL

MAIL STOP APPEAL BRIEF – PATENTS Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby certifies that the following documents:

- Transmittal of Brief on Appeal Under 37 C.F.R. § 1.192;
- Appeal Brief (14 pages) (w/three copies);
- Petition for Extension of Time (1 mo.);
- Fee Transmittal with 2 checks (\$320.00 filing fee) and (\$110.00 extension fee);
- Certificate of Mailing by Express Mail; and
- Return Receipt Post Card

relating to the above application, were deposited as "Express Mail", Mailing Label No. EV322527976US with the United States Postal Service, addressed to The Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on September 25, 2003.

9/25/03

Date

9/25/03

Date

Mailer

Stuart T. Langley, Reg. No. 33,94

HOGAN & HARTSON LLP

One Tabor Center

1200 17th Street, Suite 1500

Denver, Colorado 80202

(720) 406-5335 Tel

(720) 406-5301 Fax



Atty. Docket No. LR001 Client Matter No. 68209.0001 Express Mail Label No. EV322527976US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

In re Application of:

Edward Pinto

Serial No. 09/522,481

Filed: March 10, 2000

For: SYSTEM AND METHOD FOR PROCESSING A SECURED COLLATERAL LOAN

Examiner: Jeffrey C. PWU

Art Unit: 3624

OCT 0 2 2003 GROUP 3600

TRANSMITTAL OF BRIEF ON APPEAL UNDER 37 C.F.R. § 1.192

Mail Stop Appeal Brief – Patents Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please find enclosed an original Brief on Appeal and three copies for the above application. Also, please find enclosed a check in the amount of \$320.00. Any fee deficiency associated with this submittal may be charged to Deposit Account No. 50-1123.

Respectfully submitted,

September 25, 2003

Stuart T. Langley
Atty. Reg. No. 33,940
HOGAN & HARTSON LLP
One Tabor Center
1200 17th Street, Suite 1500
Denver, Colorado 80202
(720) 406-5335 Tel
(720) 406-5301 Fax

EP 7 5 2003

TOTAL AMOUNT OF PAYMENT (\$)

for FY 2003

(\$)430.00

	Complete if Known	_
Application Number	09/522,481	
Filing Date	March 10, 2000	
First Named Inventor	Edward Pinto	
Examiner Name	Jeffrey C. PWU	
Group / Art Unit	3624	
Attorney Docket No.	LR001	

		Littooi		
ļ <u></u>	METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)		
1.🖾	The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:	3. ADDITIONAL FEES		
Deposit Account Number	50-1123	Large Entity Small Entity Fee Fee Fee Fee Code (\$) Fee Description Fee Pa	aid	
Deposit Account	Hogan & Hartson L.L.P.	105 130 205 65 Surcharge – late filing fee or oath 127 50 227 25 Surcharge – late provisional filing fee or cover sheet		
Name		139 130 139 130 Non-English specification		
<u>'</u>	Charge Any Additional Fee Required Under 37 CFR § § 1.16 and 1.17	147 2,520 147 2,520 For filing a request for ex parte reexamination		
	Applicant claims small entity status. See 37 CFR 1.27	112 920* 112 920* Requesting publication of SIR prior to Examiner action		
2.🛛	Payment Enclosed:	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action		
	Check Credit Money Card Order	115 110 215 55 Extension for reply within first month		
	FEE CALCULATION	116 410 216 205 Extension for reply within second		
1. BASIC I	FILING FEE	month 117 930 217 465 Extension for reply within third month		
Large Entity Fee Fee Code (\$)	Small Entity Fee Description Fee Fee Fee Fee Paid Code (\$)	118 1,450 218 725 Extension for reply within fourth month		
1001 750	2001 375 Utility Filing Fee	128 1,970 228 985 Extension for reply within fifth month		
1006 330	2006 165 Design filing fee	119 320 219 160 Notice of Appeal		
1007 520	2007 260 Plant filing fee	120 320 220 160 Filing a brief in support 20 220 160 appeal		
1008 750	2008 375 Reissue filing fee	121 280 221 140 Request for oral hearing 138 1.510 138 1.510 Petition to institute a public CCT	na	
1005 160	2005 80 Provisional filing fee	proceeding		
	SUBTOTAL (1) (\$)0.00	140 110 240 55 Petition to revive — (120 120 120 120 120 120 120 120 120 120	60 0	
2. EXTRA	CLAIM FEES	142 1,300 242 650 Utility issue fee (or reissue)		
h	Fee from Fee Paid Language Extra Claims below	143 460 243 230 Design issue fee		
Total Claims Independent	-20**= X = 0 -3**= X = 0	144 630 244 315 Plant issue fee 122 130 122 130 Petitions to the Commissioner		
Claims Multiple Depe		123 50 123 50 Processing fee under 37 CFR		
**or number pre	eviously paid, if greater; For Reissues, see below	1.17(q) 126 180 126 180 Submission of Information	\dashv	
Large Entity Fee Fee	Small Entity Fee Fee Fee Description	Disclosure Stmt 581 40 581 40 Recording each patent assignment per property (times		
Code (\$)	Fee Fee Fee Description Code (\$) 2202 9 Claims in excess of 20	number of properties) 146 750 246 375 Filing a submission after final rejection (37 CFR § 1.129(a))		
1202 18	2201 42 Independent claims in excess of 3	149 750 249 375 For each additional invention to		
1203 280	2203 140 Multiple dependent claim, if not paid	be examined (37 CFR §1.129(b)) 179 750 279 375 Request for Continued		
1204 84	2204 42 **Reissue independent claims over original patent	Examination (RCE) 169 900 169 900 Request for expedited examination of a design		
1205 18	2205 9 **Reissue claims in excess of 20 and over original patent	application Other fee (specify)		
SUBTOTAL	L (2) (\$)0.00	*Reduced by Basic Fling Fee Paid SUBTOTAL (3) (\$)430.	.00	
SUBMITTED BY Complete (if applicable)				
Name (Print/	Type) Stuart T. Langley	Registration No. (Attorney/Agent) 33,940 Telephone 720-406-53	335	
Signature	Traditaria	Date 9/25	103	
	.)()			